

Section #
#3 ✓

Sun Microsystems, Inc.
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Docket No.: **P5210 US**

October 30, 2001

BOX MISSING PARTS
COMMISSIONER FOR PATENTS AND TRADEMARKS
WASHINGTON, D.C. 20231

RE: Applicants: HEILIG, Joerg; LAUX, Thorsten
Assignee: Sun Microsystems, Inc.
Title: DATA ACCESS SYSTEM AND METHOD WITH PROXY AND
REMOTE PROCESSING
Serial No.: 09/931,844 Filing Date: August 16, 2001
Examiner: UNKNOWN Group Art Unit: 2152
Docket No.: **P5210 US**

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

1. This Transmittal Letter (2 pages);
2. Return Receipt Postcard
3. Response To Notice To File Missing Parts Of Nonprovisional Application (2 pages, in duplicate);
4. Combined Declaration and Power Of Attorney signed by inventors in compliance with 37 CFR § 1.63 (4 pages);
5. Copy of Notice To File Missing parts of Nonprovisional Application; and
6. Certified Copy of European Patent Application No. 00 117 311.1, filed on August 18, 2000.

Total fee for this submission:

\$ 130.00



The Commissioner is hereby authorized to charge any additional fees required and credit any overpayment to our Deposit account No.: 19-4974.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231, on October 30, 2001.

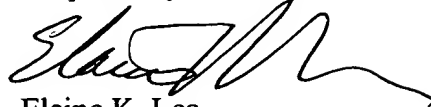


Attorney For Applicant(s)

Oct. 30, 2001

Date of Signature

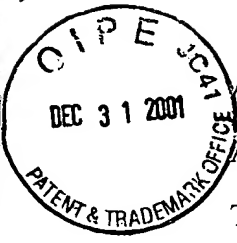
Respectfully submitted,



Elaine K. Lee
Attorney for Applicants
Reg. No.: 41,936

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicants: HEILIG, Joerg; LAUX, Thorsten
Assignee: Sun Microsystems, Inc.
Title: DATA ACCESS SYSTEM WITH PROXY AND REMOTE PROCESSING
Serial No.: 09/931,844 Filing Date: 8/16/01
Examiner: Unknown Group Art Unit: 2152
Docket No.: P5210 US Confirmation No. 4555

October 30, 2001

BOX MISSING PARTS
COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D. C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION**

Dear Sir:

Responsive to the "Notice to File Missing Parts of Nonprovisional Application" mailed by the United States Patent and Trademark Office on September 27, 2001, the following document are enclosed to complete the filing of the above-identified patent application:

1. A Combined Declaration and Power Of Attorney signed by inventors in compliance with 37 CFR § 1.63 (4 pages);
2. Copy of Notice to File Missing Parts of Nonprovisional Application; and
3. Certified Copy of European Patent Application No. 00 117 311.1, filed on August 18, 2000, from which we claim priority.

The United States Patent and Trademark Office is hereby authorized to charge the following fees to Deposit Account No. 19-4974:

1. Surcharge for filing declaration on a date later than the

filing date of application.

\$130.00

TOTAL FEES

\$130.00

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-4974. A duplicate copy of this authorization is enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on October 30, 2001.

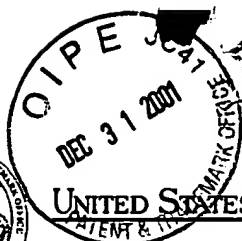

Agent for Applicants

October 30, 2001
Date of Signature

Respectfully submitted,



Elaine K. Lee
Attorney for Applicants
Reg. No. 41,936



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/931,844	08/16/2001	Joerg Heilig	P5210 US

CONFIRMATION NO. 4555

24726
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901 SAN ANTONIO RD
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PALO ALTO, CA 94303

FORMALITIES LETTER



OC00000006802579

Date Mailed: 09/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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